

---

# Lecture 6

## List ADT

---

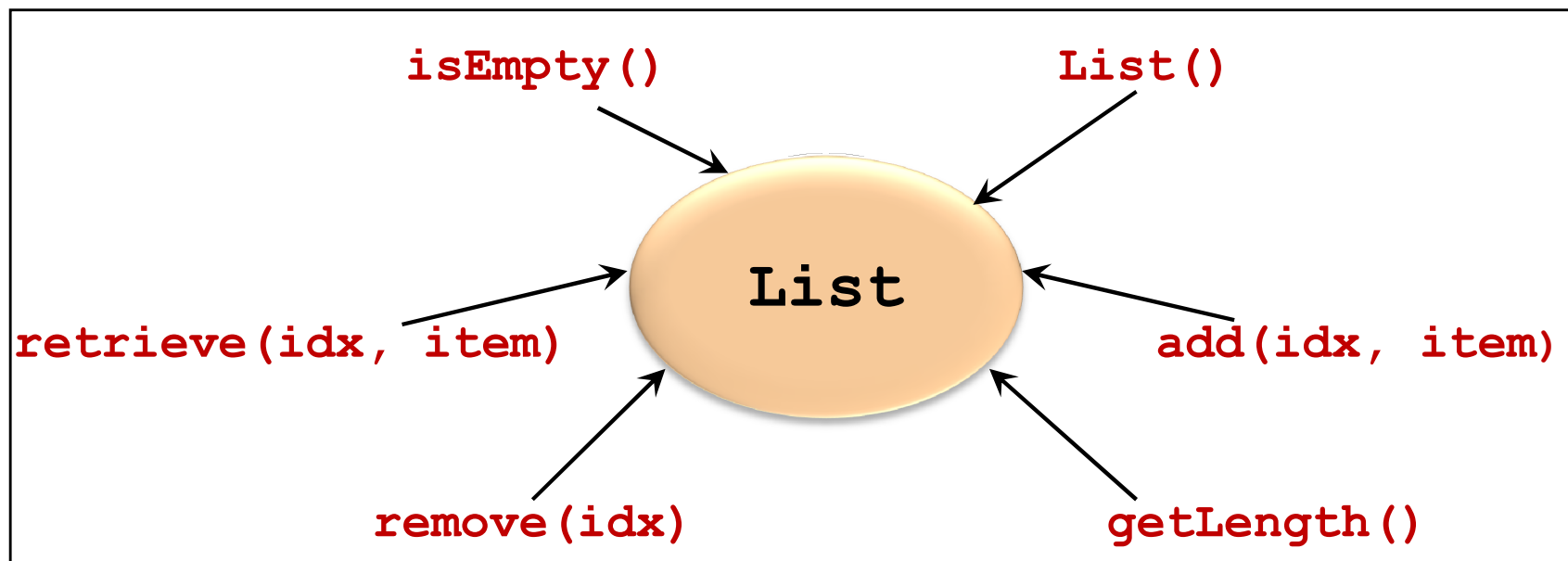
It is very pervasive

# Lecture Overview

- List ADT
  - Specification
  
- Implementation for List ADT
  - Array Based
    - Pros and Cons
  
  - Linked List Based
    - Pros and Cons

# List ADT

- A sequence of items where positional order matter  $\langle a_1, a_2, \dots, a_{n-1}, a_n \rangle$
- Lists are very pervasive in computing
  - e.g. student list, list of events, list of appointments etc



The `list` ADT

`idx` : Position, integer  
`item` : Data stored in list,  
can be any data type

# List ADT : C++ Specification

```
// includes are not shown
```

```
class ListBase {
```

```
public:
```

```
    virtual bool isEmpty() = 0; Operations to check on the state of list.
```

```
    virtual int getLength() = 0;
```

The three major operations

```
    virtual bool insert(int index, const int& newItem) = 0;
```

```
    virtual bool remove(int index) = 0;
```

```
    virtual bool retrieve(int index, int& dataItem) = 0;
```

```
    virtual string toString() = 0; Operation to ease printing & debugging.
```

```
};
```

ListBase.h

# Design Decisions

- This is a simplified design:
  - to reduce the "syntax burden"
  - to concentrate on the internal logic
- You are encouraged to enhance the class:
  - After you have understood the internal logic
- Possible enhancements:
  - **Use Template Class:**
    - So that list can contain item of any data type
  - **Use Inheritance + Polymorphism:**
    - Similar to the Complex Number ADT

# Two Major Implementations

1. Array implementation
2. Linked list implementation (discussed soon)

## ■ General steps:

### 1. Choose an **internal data structure**

- e.g. Array or linked list

### 2. Figure out the algorithm needed for each of the major operations in List ADT:

- **insert, remove, and retrieve**

### 3. Implement the algorithm from step (2)

---

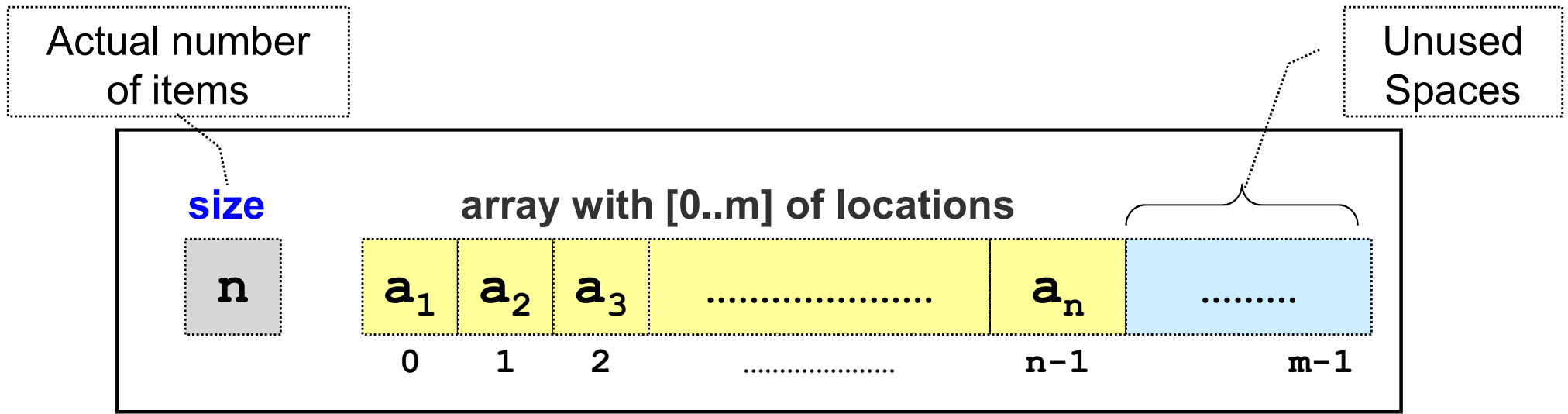
# List ADT – Version A

---

## **Array Implementation**

# Implement List ADT: Using Array

- Array is a prime candidate for implementing the ADT
  - Simple construct to handle a collection of items
- **Advantage:**
  - Very fast retrieval



Internal of the `list` ADT, Array Version



# Insertion : Using Array

- **Simplest Case:** Insert to the end of array
- Other Insertions:
  - Some items in the list needs to be shifted
  - **Worst case:** Inserting at the head of array

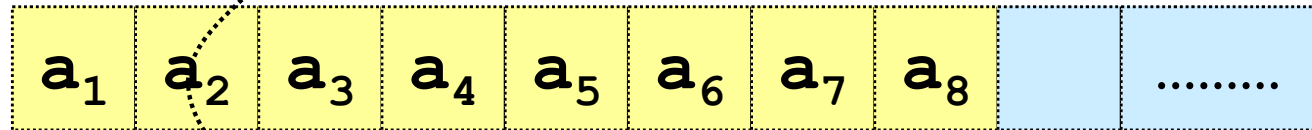
Example :

Insert item "it" into the 3<sup>rd</sup> position

size

8

items

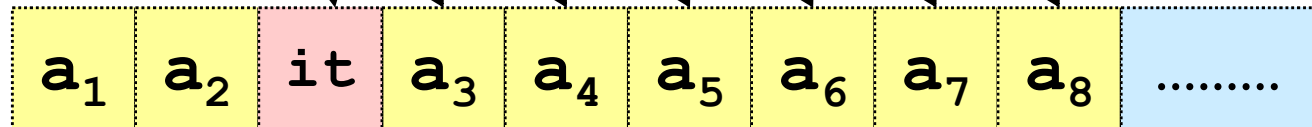


Step 2 : Write into gap

Step 1 : Shift right

size

9



Step 3 : Update Size

# Deletion: Using Array

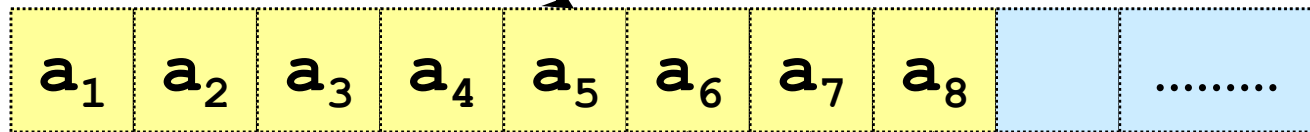
- **Simplest Case:** Delete item from the end of array
- Other deletions:
  - Items needs to be shifted
  - **Worst Case:** Deleting at the head of array

Example:

remove the item at 5<sup>th</sup> position

size

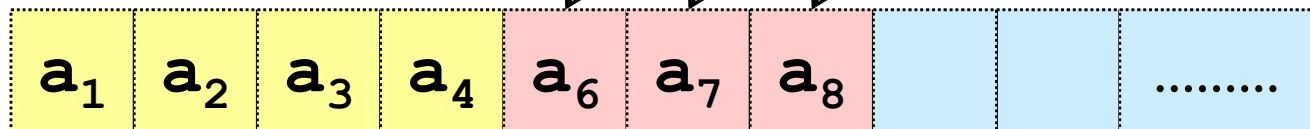
8



Step 1 : Close Gap

size

7



Step 2 : Update Size

# List Array: Specification

```
#include "ListBase.h"
```

```
const int MAX_LIST = 50;
```

```
class ListArray : public ListBase {
```

```
private:
```

```
    int _size;
```

```
    int _items[MAX_LIST];
```

```
public:
```

```
    ListArray();
```

```
    virtual bool isEmpty();
```

```
    virtual int getLength();
```

```
    virtual bool insert(int index, const int& newItem);
```

```
    virtual bool remove(int index);
```

```
    virtual bool retrieve(int index, int& dataItem);
```

```
    virtual string toString();
```

```
};
```

Items stored in an static  
array

ListArray.h

# List Array: Implementation (1/4)

```
#include <sstream>
#include "ListArray.h"

ListArray::ListArray() {
    _size = 0;
}

bool ListArray::isEmpty() {
    return _size == 0;
}

int ListArray::getLength() {
    return _size;
}
```

ListArray.cpp (Part 1)

- *isEmpty()* and *getLength()* methods are easy to code:
  - will be omitted in later implementations

# List Array: Implementation (2/4)

```
bool ListArray::insert(int userIdx, const int& newItem) {  
    int index = userIdx-1;  
  
    if (_size >= MAX_LIST)  
        return false;  
  
    if ((index < 0) || (index >= _size+1))  
        return false;  
  
    for (int pos = _size-1; pos >= index; pos--)  
        _items[pos+1] = _items[pos];  
  
    _items[index] = newItem;  
  
    _size++;  
  
    return true;  
}
```

List index starts from 1, but  
array index starts from 0

Maximum capacity reached

List index out  
of range

Step 1. Shift items

Step 2. Write into gap

Step 3. Update Size

ListArray.cpp (Part 2)

# List Array: Implementation (3/4)

```
bool ListArray::remove(int userIdx) {  
    int index = userIdx-1;  
  
    if ((index < 0) || (index >= _size))  
        return false;  
  
    for (int pos = index; pos < _size-1; pos++)  
        _items[pos] = _items[pos+1];  
  
    _size--;  
  
    return true;  
}
```

List index out  
of range

Step 1. Close gap

Step 2. Update size

ListArray.cpp (Part 3)

# List Array: Implementation (4/4)

```
bool ListArray::retrieve(int userIdx, int& dataItem) {  
    int index = userIdx-1;  
  
    if ((index < 0) || (index >= _size))  
        return false;  
  
    dataItem = _items[index];  
    return true;  
}
```

Retrieval is simple, as array item can be accessed directly.

The result is passed back through the reference parameter

```
string ListArray::toString() {  
    ostringstream os;  
  
    os << "[";  
    for (int i = 0; i < _size; i++)  
        os << _items[ i ] << " ";  
    os << "];"  
  
    return os.str();  
}
```

A useful method to print all items into a string with the format

[ *item1 item2 ... itemN* ]

ListArray.cpp (Part 4)

# Using the List ADT: User Program

- Instead of an actual List ADT application, we show a program used to test the implementation of various List ADT operations
- Pay attention to **how we test** the operations:
  - For each operations:
    - Test different scenarios, basically to exercise different "decision path" in the implementation
  - For example, to test the **insert** operation:
    - Insert into an empty list
    - Insert at the first, middle and last position of the list
    - Insert with incorrect index



# List ADT : Sample User Program 1/2

```
#include <iostream>
#include "ListArray.h"
using namespace std;
```

Using the array  
implementation of list

```
int main() {
    ListArray intList;
    int rItem;

    if (intList.insert(1, 333))
        cout << "Insertion successful!\n";
    else
        cout << "Insertion failed!\n";

    intList.insert(1, 111);
    intList.insert(3, 777);
    intList.insert(3, 555);
```

This is one way to use the operations: Check the return result for the status of the operation.

If the insertion is implemented properly, the list should contain  
[ 111 333 555 777 ]  
at this point

ListTest.cpp (Part 1)

# List ADT : Sample User Program 2/2

```
cout << intList.toString() << endl;
```

Test toString() and also confirm the content of List

```
intList.retrieve(1, rItem);
```

```
cout << "First item is " << rItem << endl;
```

```
intList.retrieve(intList.getLength(), rItem);
```

```
cout << "Last item is " << rItem << endl;
```

Test retrieve() and getLength()

```
cout << "Remove test" << endl;
```

```
intList.remove(1);
```

```
intList.remove(2);
```

```
intList.remove(intList.getLength());
```

Test removal():

- remove 1<sup>st</sup> item
- remove item in the middle
- remove last item

```
intList.retrieve(1, rItem);
```

```
cout << "First item is " << rItem << endl;
```

```
intList.retrieve(intList.getLength(), rItem);
```

```
cout << "Last item is " << rItem << endl;
```

```
return 0;
```

```
}
```

ListTest.cpp (Part 2)

# Array Implementation: Efficiency (time)

## ■ Retrieval:

- **Fast:** one access

## ■ Insertion:

- **Best case:** No shifting of elements
- **Worst case:** Shifting of all  $N$  elements.

## ■ Deletion:

- **Best case:** No shifting of elements
- **Worst case:** Shifting of all  $N$  elements

# Array Implementation : Efficiency (space)

- Size of array is **restricted** to **MAX\_LIST**
- **Problem:**
  - Maximum size is **not known in advance**
    - **MAX\_LIST** is too big == unused space is wasted
    - **MAX\_LIST** is too small == run out of space easily
- **Solution:**
  - Make **MAX\_LIST** *a variable*
  - When array is full:
    1. Create a larger array
    2. Move the elements from the old array to the new array
  - No more limits on size, but *space wastage and copying overhead is still a problem*

# Array Implementation : Observations

- For **fixed-size collections**
  - Arrays are **great**
- For **variable-size collections**, where dynamic operations such as insert/delete are common
  - Array is a **poor choice** of data structure
  - For such applications, ***there is a better way.....***

---

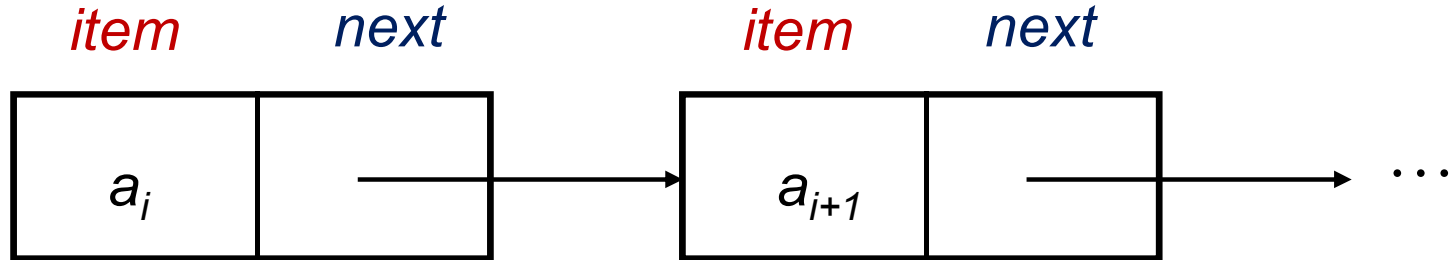
# List ADT – Version B

---

## **Linked List Implementation**

# Implement List ADT using Linked List

- **Pointer Based Linked List:**
  - Allow elements to be **non-contiguous** in memory
  - Order the elements by associating each with its **neighbour(s)** through pointers



This is one node  
In the list

... and this one comes  
after it.

# A single node in the Linked List

```
struct ListNode {
```

```
    int item;
```

```
    ListNode *next;
```

```
};
```

item

next

ListNode

Store a single integer in this example

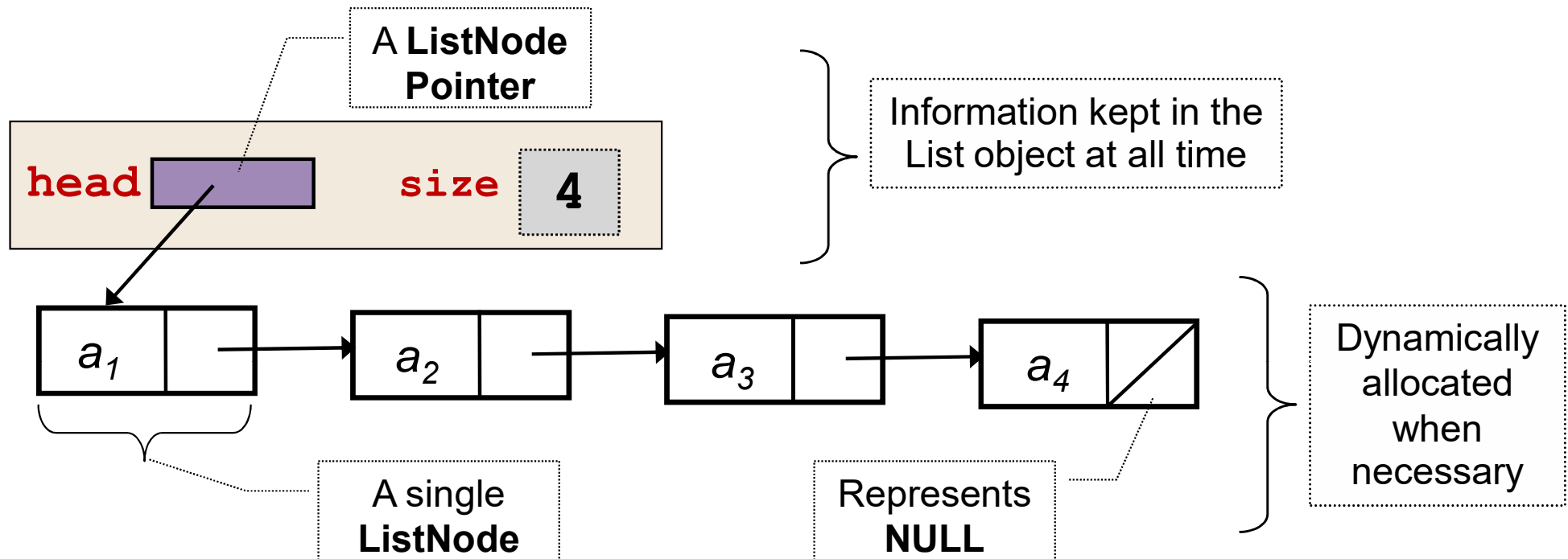
A pointer to another structure with the same layout

C++ Allows structure name to be used **without** the keyword **struct**



# List ADT: Using **Linked List**

- List of four items  $\langle a_1, a_2, a_3, a_4 \rangle$



- We need:
  - head** pointer to indicate the first node
    - Other nodes are accessed by "hopping" through the next pointer
  - size** for the number of items in the linked list

# Linked List Implementation: **Design**

- Linked list implementation is more complicated:
  - Need to handle a number of scenarios separately
- Let us walkthrough the insertion algorithm in detail:
  - Highlight the importance of design before coding
  - Highlight the design considerations:
    - How to consider different cases?
    - How to modularize and reuse common code?

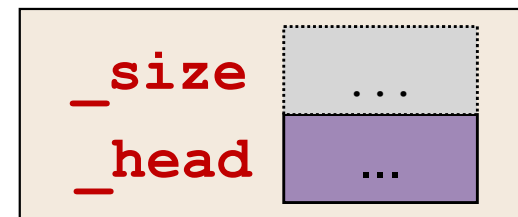
# Linked List Insertion: General

- List ADT provides the *insert()* method to add an item:
  - The new item itself is given
  - The index [**1...size+1**] of the new item is given
- Due to the nature of linked list, there are several possible scenarios:
  1. Item is added to an **empty** linked list
  2. Item is added to the **head (first item)** of the linked list
  3. Item is added to the **last position** of the linked list
  4. Item is added to the **other positions** of the linked list

# Linked List Insertion: Preliminary

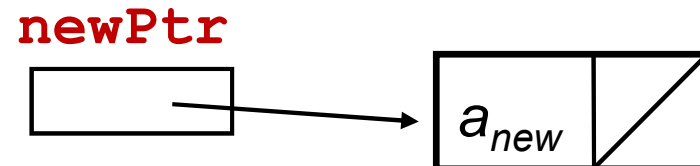
- The **List** object stores:
  - Head pointer and the current size of linked list

```
class List {  
private:  
    int _size;  
    ListNode* _head;  
    ....  
};
```

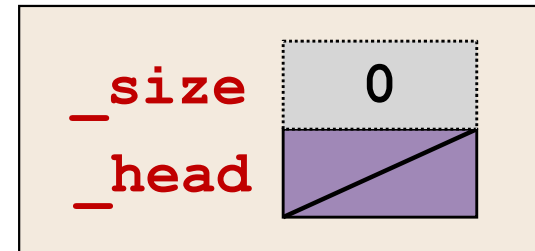


- For all valid cases, we need to construct a new linked list node to store the new item

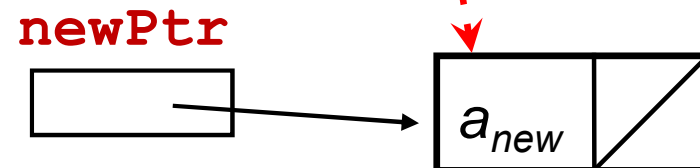
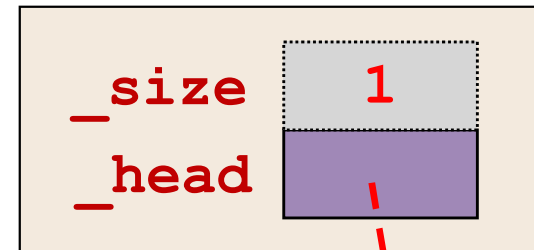
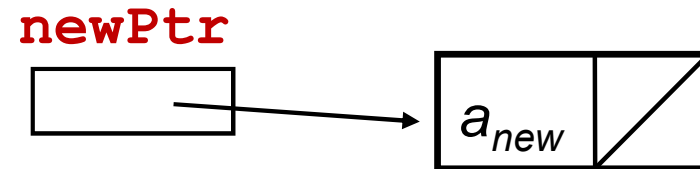
```
ListNode *newPtr;  
  
newPtr = new ListNode;  
newPtr->item =  $a_{new}$ ;  
newPtr->next = NULL;
```



# Insertion Case 1: Empty Linked List

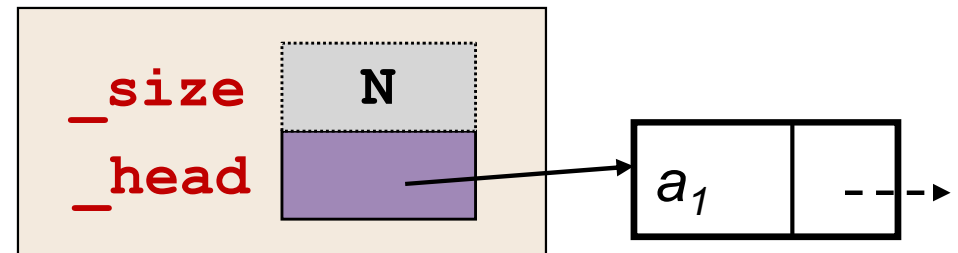


```
_size++;  
_head = newPtr;
```

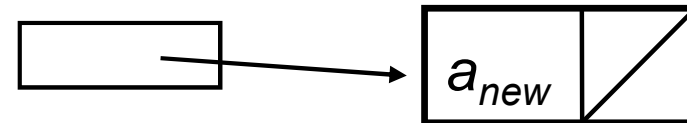


**Question:** is `newPtr` needed after this operation?

# Insertion Case 2: Head of Linked List

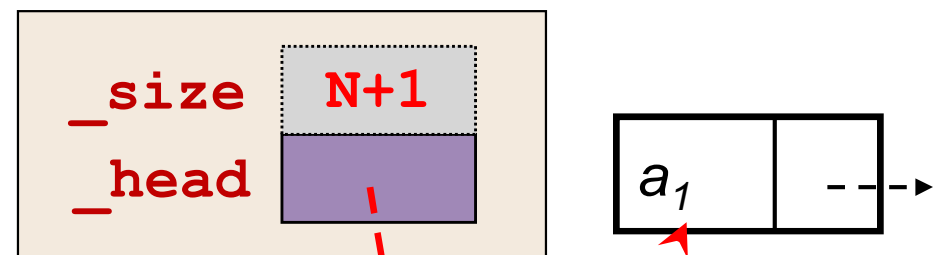


**newPtr**

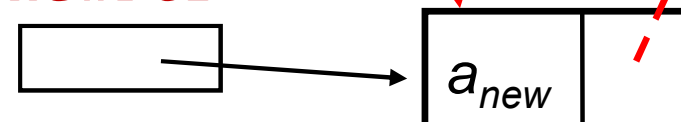


```
_size++;
```

```
newPtr->next = _head;  
_head = newPtr;
```



**newPtr**

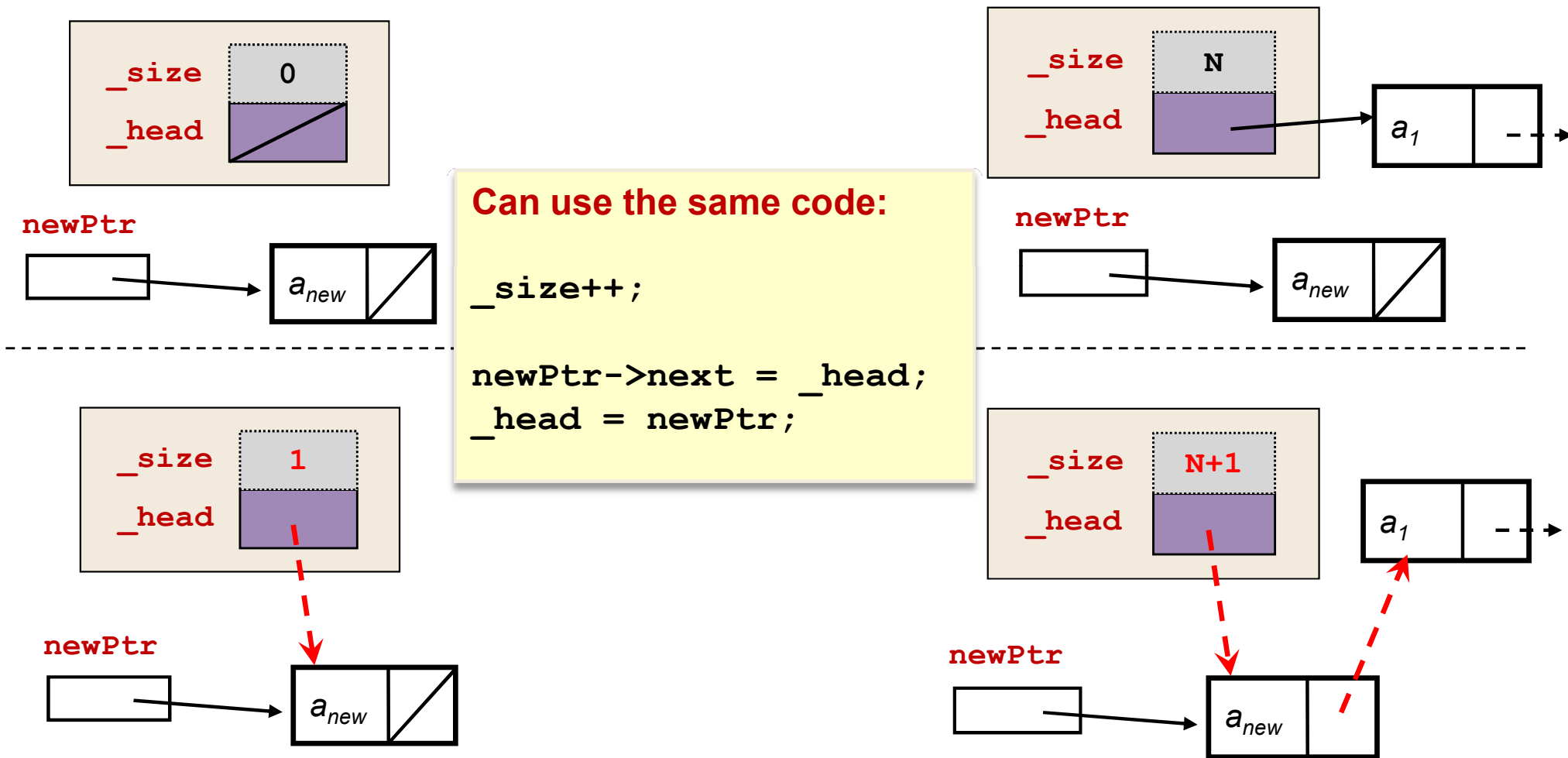


**Question1:** Can we reorder the last two lines of code above?

**Question2:** Very similar to previous case, can we combine?

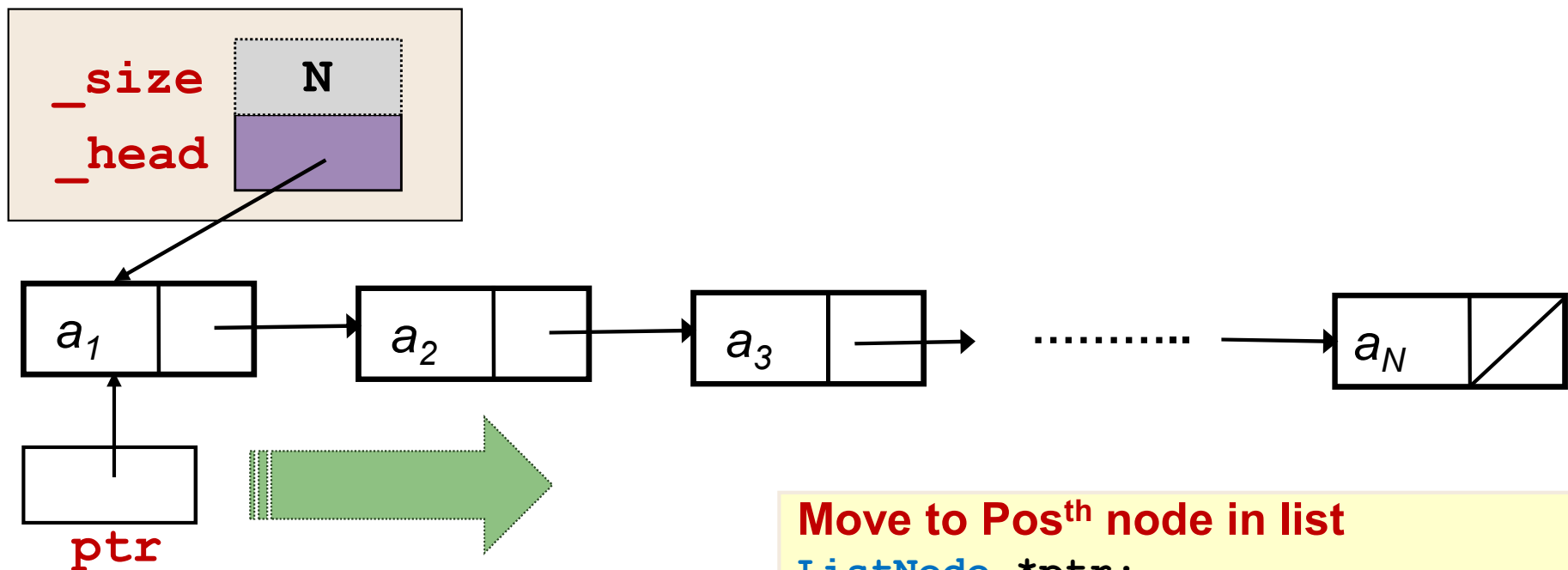
# Insertion Case 1 and 2: Common Code

- Insert into head of linked list (possibly empty)



# Linked List Insertion: Traversal

- Since we only keep the head pointer, **list traversal** is needed to reach other positions
  - Needed for insertion case 3 and 4



**Move to Pos<sup>th</sup> node in list**

```
ListNode *ptr;
```

```
ptr = _head;
```

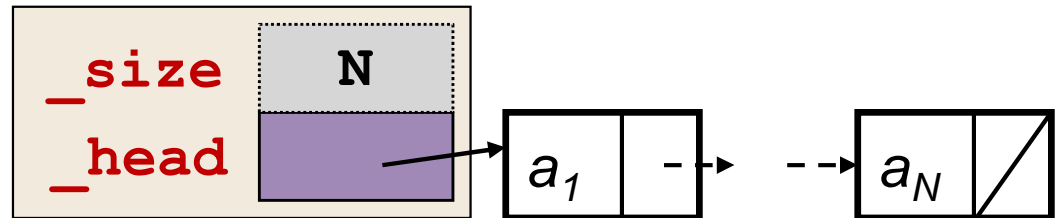
```
for (i = 1; i < Pos; i++) {
```

```
    ptr = ptr->next;
```

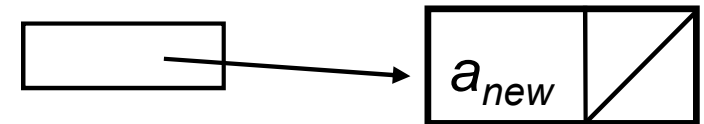
```
}
```



# Insertion Case 3: End of Linked List



newPtr

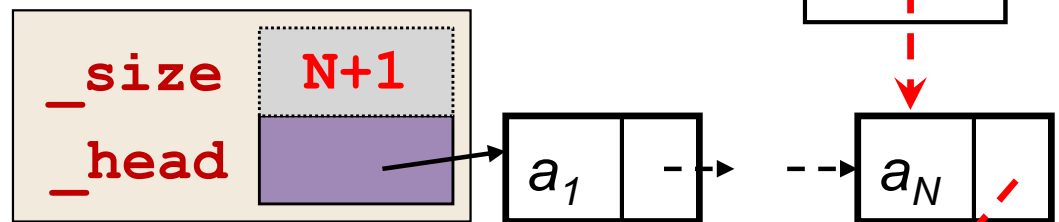


```
ListNode* prev;
```

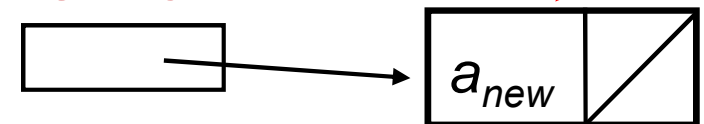
```
prev = Traverse to Nth node
```

```
_size++;
```

```
prev->next = newPtr;
```



newPtr



Use the list traversal code discussed to move **prev** pointer

# Insertion Case 4: $K^{\text{th}}$ Position (Middle)

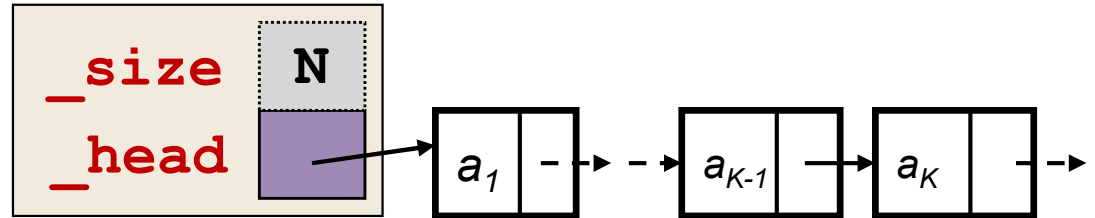
```
ListNode* prev;
```

```
prev = Traverse to  $K-1^{\text{th}}$  node
```

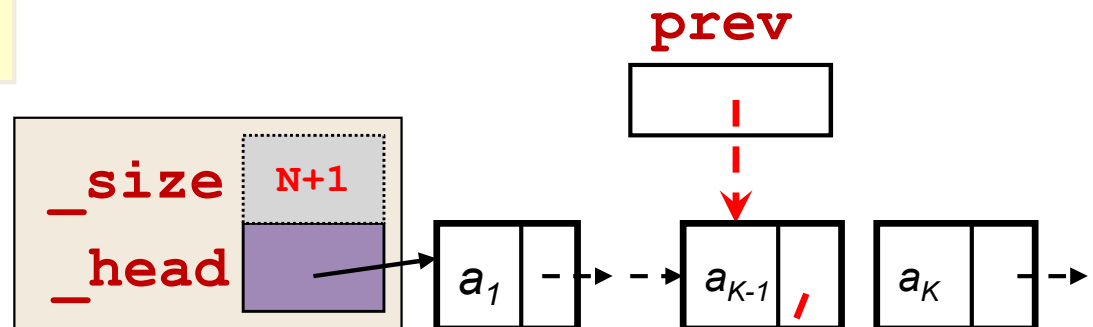
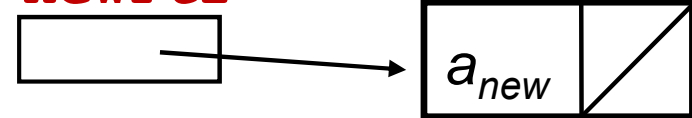
```
_size++;
```

```
newPtr->next = prev->next;
```

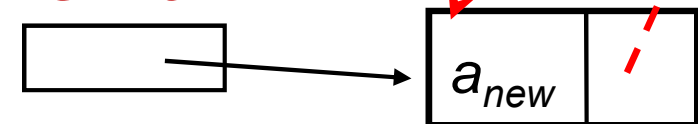
```
prev->next = newPtr;
```



`newPtr`



`newPtr`

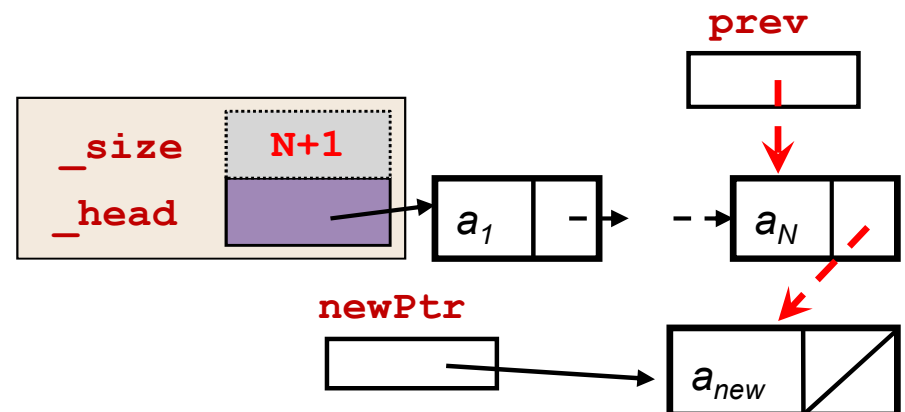
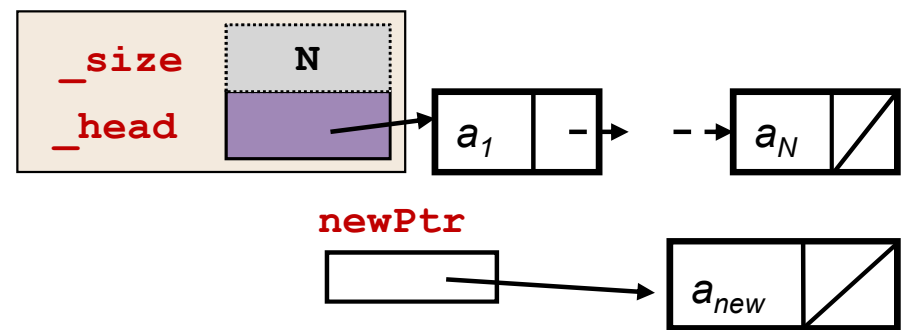


We only need to change at most two pointers for ANY insertion

# Insertion Case 3 and 4: Common Code

- The code for case 4 happens to be a more general form of case 3:
  - Case 3 can be handled with the same code in case 4

```
ListNode* prev;  
  
K = N+1  
prev = Traverse to K-1th node  
  
_size++;  
newPtr->next = prev->next;  
prev->next = newPtr;
```



# Insertion Code: Summary

- To insert **ItemNew** into **Index<sup>th</sup>** position
  - Assume **Index** is in range **[1....size+1]**

```
ListNode *newPtr, *prev;
```

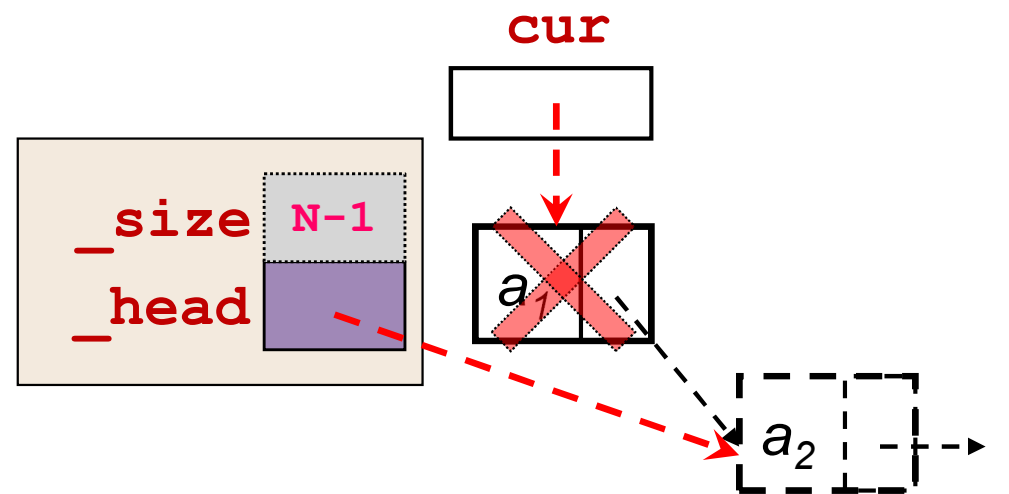
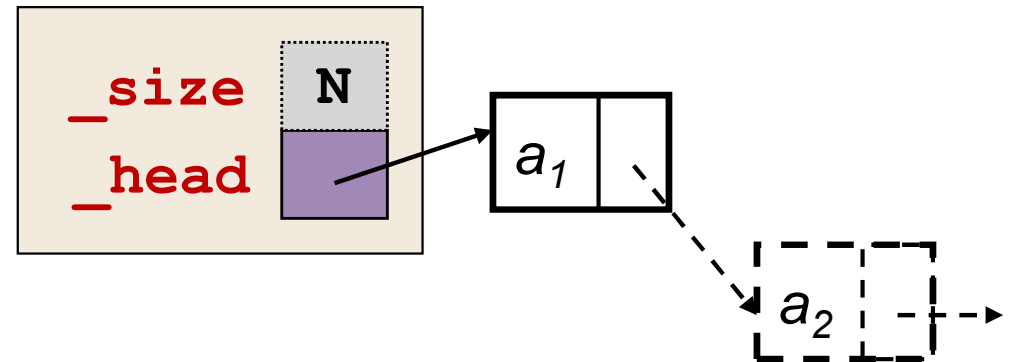
```
1. newPtr = new ListNode // Create a new node
   i. item = ItemNew
   ii. next pointer = NULL
2. _size increases by 1
3. If Index is 1 // Case 1 + 2
   i. newPtr->next = _head
   ii. _head = newPtr
4. Else // Case 3 + 4
   i. prev = Traverse to Index-1th node
   ii. newPtr->next = prev->next
   iii. prev->next = newPtr
```

# Linked List Deletion: **General**

- For Linked List deletion, the cases can be simplified similar to:
  1. Deletion of head node (1<sup>st</sup> Node in list)
  2. Deletion of other node (including middle or end of list)
- Try to deduce the code logic using similar approach

# Deletion Case 1: Head of Linked List

```
ListNode* cur;  
  
_size--;  
  
cur = _head;  
_head = _head->next;  
  
delete cur;
```



**Question:** What if there is only 1 node? Will the code work?

# Deletion Case 2: $K^{\text{th}}$ Position (Middle)

```
ListNode *prev, *cur;
```

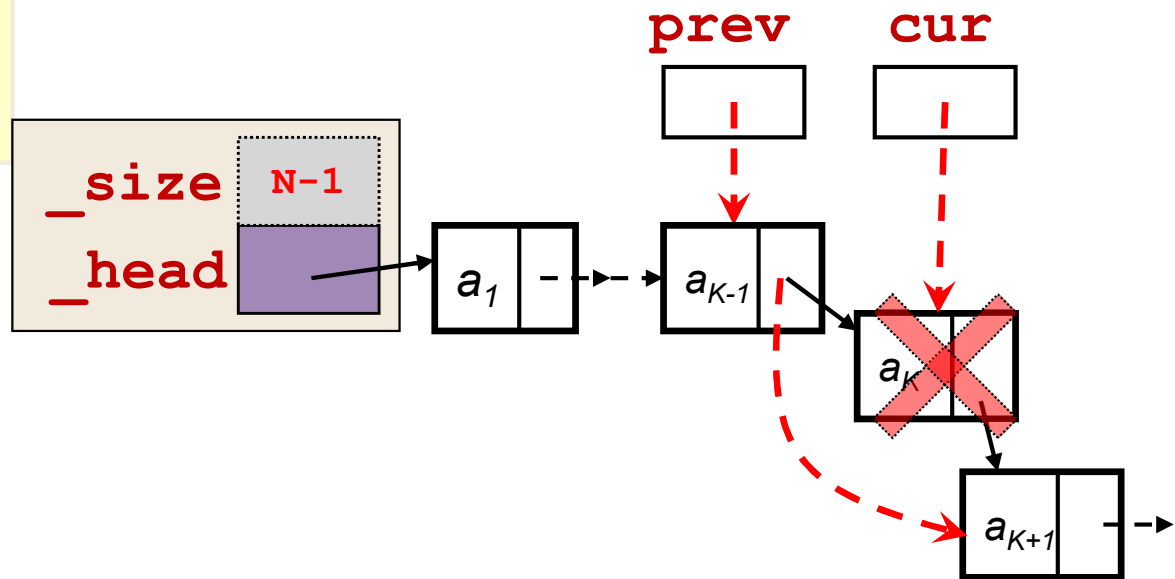
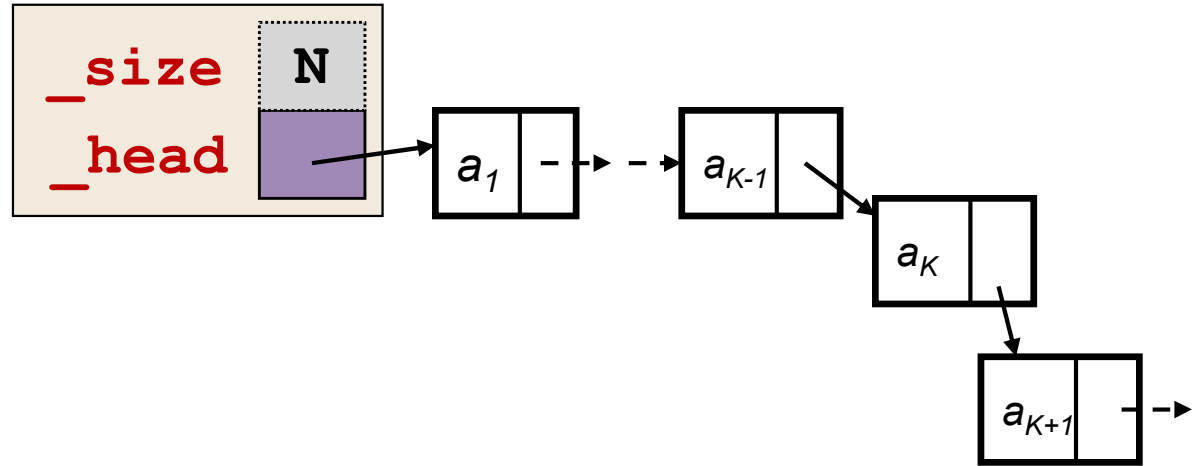
```
prev = Traverse to  $K-1^{\text{th}}$  node
```

```
_size--;
```

```
cur = prev->next;
```

```
prev->next = cur->next;
```

```
delete cur;
```



**Question:** What if the  $K^{\text{th}}$  node is the last node?

# Deletion Code: Summary

- To delete item at **Index<sup>th</sup>** position
  - Assume **Index** is in range **[1....size]**

```
ListNode *prev, *cur;
```

```
1. _size decreases by 1  
2. If Index is 1 // Case 1  
   i. cur = _head  
   ii. _head = _head->next;  
3. Else // Case 2  
   i. prev = Traverse to Index-1th node  
   ii. cur = prev->next  
   iii. prev->next = cur->next  
4. Free memory pointed by cur pointer
```

The traversal code can be shared between insertion and deletion. Let's make it into another method



# List (Linked List): Specification

```
#include "ListBase.h"
class ListLL : public ListBase {
private:
    struct ListNode {
        int item;
        ListNode *next;
    };
    int _size;
    ListNode* _head;
    ListNode* traverseTo(int index);
public:
    ListLL();
    ~ListLL();

    virtual bool isEmpty();
    virtual int getLength();

    virtual bool insert(int index, const int& newItem);
    virtual bool remove(int index);
    virtual bool retrieve(int index, int& dataItem);

    virtual string toString();
};
```

The `ListNode` structure declaration is **hidden** from outsider

The `traverseTo` method is only used internally

ListLL.h

# ListLL: Implementation (1/5)

```
ListLL::ListLL() {  
    _head = NULL;  
    _size = 0;  
}
```

```
ListLL::~~ListLL() {  
    while (!isEmpty())  
        remove(1);  
}
```

We need a destructor to free each node as they are dynamically allocated.

```
string ListLL::toString() {  
    ostringstream os;  
    ListNode *cur;  
  
    os << "[ ";  
    for (cur = _head; cur != NULL; cur = cur->next) {  
        os << cur->item << " ";  
    }  
    os << "];"  
  
    return os.str();  
}
```

Go through all nodes to print all items.

ListLL.cpp (part 1)

# ListLL: Implementation (2/5)

This is the return type of *find()* method.

`ListLL::` is needed because `ListNode` is a private declaration in class `ListLL`.

```
ListLL::ListNode* ListLL::traverseTo(int index) {  
    if ((index < 1) || (index > getLength()))  
        return NULL;  
    else {  
        ListNode *cur = _head;  
  
        for (int skip = 1; skip < index; skip++)  
            cur = cur->next;  
  
        return cur;  
    }  
}
```

index out of range

Traversal code as discussed.

This method is used in both insertion and deletion

ListLL.cpp (part 2)

# ListLL: Implementation (3/5)

```
bool ListLL::insert(int userIdx, const int& newItem) {
    int newLength = getLength()+1;

    if ((userIdx < 1) || (userIdx > newLength))
        return false;
    else {
        ListNode *newPtr = new ListNode;
        newPtr->item = newItem;
        newPtr->next = NULL;
        _size = newLength;

        if (userIdx == 1) {
            newPtr->next = _head;
            _head = newPtr;
        }
        else {
            ListNode *prev = traverseTo(userIdx-1);
            newPtr->next = prev->next;
            prev->next = newPtr;
        }
    }
    return true;
}
```

List index out of range

Refer to the insertion code summary. See how the steps are translated into actual code.

ListLL.cpp (part 3)

# ListLL: Implementation (4/5)

```
bool ListLL::remove(int userIdx) {
    ListNode *cur;

    if ((userIdx < 1) || (userIdx > getLength()))
        return false;
    else {
        --_size;
        if ( userIdx == 1 ) {
            cur = _head;
            _head = _head->next;
        }
        else {
            ListNode *prev = traverseTo(userIdx-1);
            cur = prev->next;
            prev->next = cur->next;
        }
        delete cur;
        cur = NULL;
    }
    return true;
}
```

List index out of range

Immediately set a deleted pointer to NULL is a good programming practice.

ListLL.cpp (part 4)

# ListLL: Implementation (5/5)

```
bool ListLL::retrieve(int userIdx, int& dataItem) {  
    if ((userIdx < 1) || (userIdx > getLength()))  
        return false;  
    else {  
        ListNode *cur = traverseTo(userIdx);  
        dataItem = cur->item;  
    }  
    return true;  
}
```

List index out of range

With the traversal method, retrieval is straightforward

ListLL.cpp (part 5)

- With the complete linked list implementation of List ADT:
  - We can use the same user program to try it out
- What do you think is the main difference between **array** and **linked list** implementation of List ADT?

# List ADT : Sample User Program (Again!)

```
#include <iostream>
#include "ListLL.h"
using namespace std;
```

Using the linked list  
implementation of list

```
int main() {
    ListLL intList;
    int rItem;
```

```
// All other usage of List ADT remain
// unchanged.....
```

```
// You can see how easy to change between
// the two implementations of List ADT
```

## Question:

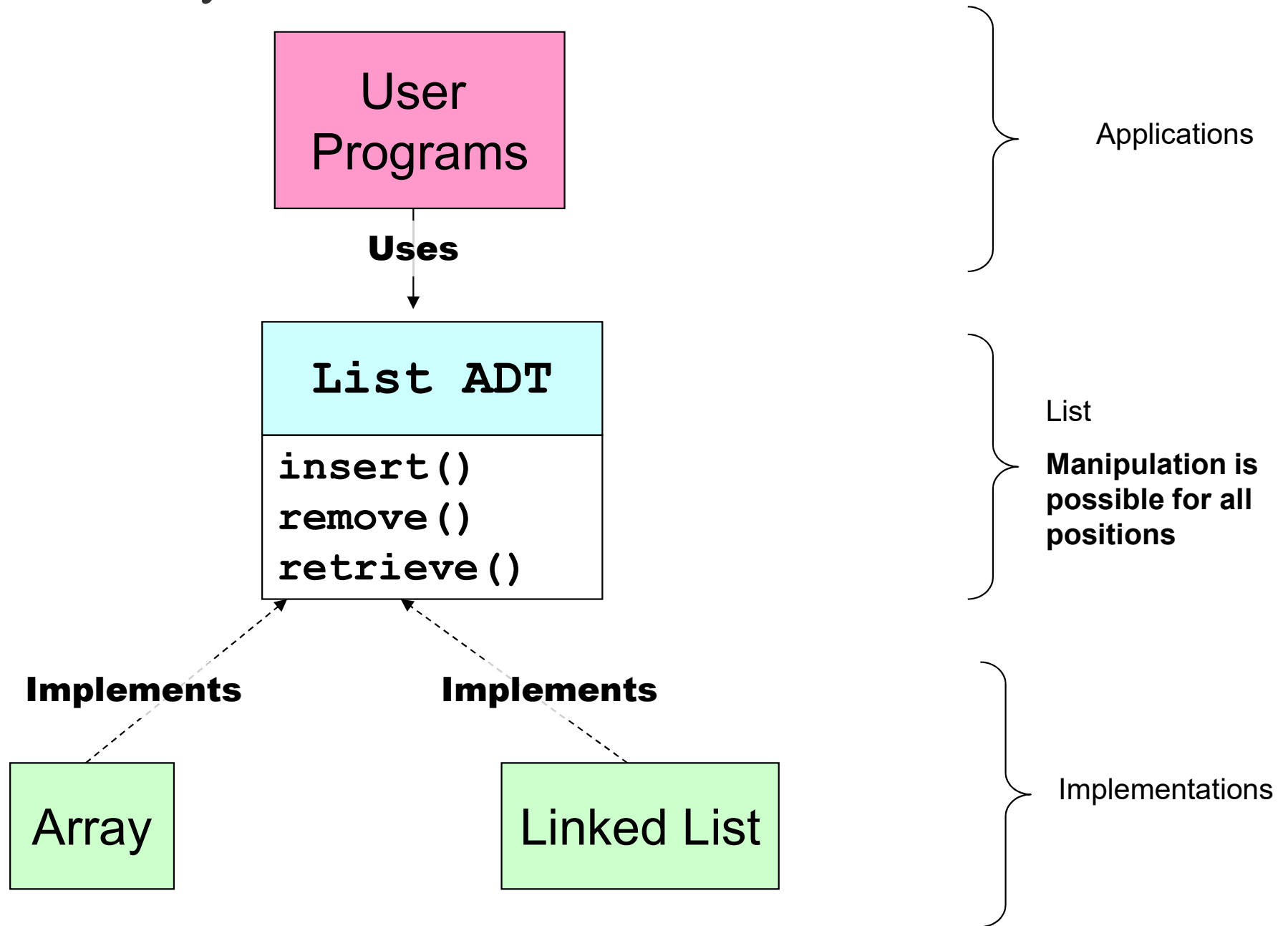
What do you think is the main  
difference between **ListArray** and  
**ListLL** implementation of List ADT?

# References

- [Carrano]
  - Chapter 3
    - List ADT and array based implementation
  - Chapter 4
    - Linked List and STL list
- [Koffman & Wolfgang]
  - Chapter 4.5 to 4.8



# Summary



# Summary

- List ADT
  - Usage
  - Specification
  
- Implementation of List ADT
  - Array Based
    - Pros and Cons
  - Linked List Based
    - Pros and Cons